

# FAX

To: The Office of Initial Patent Examination's Filing Receipt Corrections

From: Stanzione & Kim, LLP

Fax: 703 746 9195

Pages including cover sheet : 12

Phone:

Date: September 13, 2004

Comments:

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Please Date Stamp and return

REQUEST FOR CORRECTED FILING RECEIPT INCLUDING: FILING RECEIPT WITH MARKING SHOWING CORRECTION TO BE MADE; REISSUE PATENT APPLICATION TRANSMITTAL; REISSUE APPLICATION DECLARATION BY THE ASSIGNEE (2 PAGES); REISSUE APPLICATION DECLARATION BY THE INVENTOR (2 PAGES); AND ASSIGNEE'S STATEMENT UNDER 37 CFR 3.73(b) ESTABLISHING OWNERSHIP OF THE PATENT TOGETHER WITH COPY OF A RECORDATION FORM COVER SHEET AND ASSIGNMENT (4 PAGES)

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APPLICANT(S): Yong-hwan PARK

PATENT NO: 6,324,373

REISSUE APPLICATION SERIAL NO.: 10/722,167

CONFIRMATION NO: 7816

TITLE: PRE-TRANSFER SYSTEM IN AN IMAGE FORMING APPARATUS

FILING DATE: November 26, 2003

DOCKET NO: 101-R001

Docket No. 101-R001  
Serial No.: 10/722,167

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re Patent Application of:**

Reissue Application Serial No.:10/722,167 Confirmation No: 7516

Patent No: 6,324,373

Applicant : Yong-hwan PARK

**Filed** : November 26, 2003

**Group Art Unit : 2852**

**Examiner** : Unassigned

Docket No. : 101-1050

**Customer No. : 38209**

**For: PRE-TRANSFER SYSTEM IN AN IMAGE FORMING APPARATUS**

**REQUEST FOR CORRECTED FILING RECEIPT**

The Office of Initial Patent Examination's Filing Receipt Corrections  
Commissioner for Patents  
Alexandria, VA 22313-1450

Sir:

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.

2. According to the Declaration filed on November 26, 2003, an error with respect to the following data, which is:

[x ] incorrectly entered and/or [ ] omitted.

### Error In

## **Correct Data**

1. <input type="checkbox"/> Second Applicant's name	1.
2. <input checked="" type="checkbox"/> Applicant's address	2. Suwon-city, Korea
3. <input type="checkbox"/> Title	3.
4. <input type="checkbox"/> Filing Date	4.
5. <input type="checkbox"/> Serial Number	5.
6. <input type="checkbox"/> Foreign/PCT Applications	6.
7. <input type="checkbox"/> Other	7.

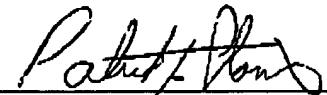
Docket No. 101-R001  
Serial No.: 10/722,167

Enclosed are copies of the original filing postcard, Reissue Patent Application Transmittal; Reissue Application Declaration by the Assignee; Reissue Application Declaration by the Inventor and Assignment filed on November 26, 2003. It is respectfully submitted that according to the enclosed Declaration, the Applicant's address in the Applicant Section of the Filing Receipt should read "Suwon-city, Korea" as marked in the enclosed marked-up Filing Receipt.

3. The correction(s) is/are not due to any error by the Applicant, and therefore no fee is due.

4. Upon recalculation of the fees due for this application up to this point, if at least one of the above corrections is in fact due to Applicant's error, please apply any charges or credits to our **Deposit Account No.: 502827** in order to avoid an erroneous abandonment of this application.

Respectfully submitted,  
STANZIONE & KIM, LLP

By:   
Patrick J. Stanzone  
Registration No. 40434

Date: September 13, 2004  
1740 N Street, NW  
1<sup>st</sup> floor  
Washington, DC 20036  
Telephone: 202-775-1900  
Facsimile: 202-775-1901

Attachments: Filing Receipt with marks to be corrected  
Postcard dated November 26, 2003  
Reissue Patent Application transmittal  
Reissue Application Declaration by the Assignee  
Reissue Application Declaration by the Inventor  
Assignment



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE RECD	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/722,167	11/26/2003	2852	1338	101-R001	6	31	7

38209  
**STANZIONE & KIM, LLP**  
**1740 N STREET, N.W., FIRST FLOOR**  
**WASHINGTON, DC 20036**

CONFIRMATION NO. 7516  
**UPDATED FILING RECEIPT**  
  
 "OC000000012820458"

Date Mailed: 06/01/2004

Receipt is acknowledged of this reissue Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Yong-hwan Park Suwon-city, JAPAN;

Suwon-city, Korea

**Domestic Priority data as claimed by applicant**

This application is a REI of 09/612,308 07/07/2000 PAT 6,324,373

**Foreign Applications**

**If Required, Foreign Filing License Granted: 05/28/2004**

**Projected Publication Date:** None, application is not eligible for pre-grant publication

**Non-Publication Request:** No

**Early Publication Request:** No

**Title**

Pre-transfer system in an image forming apparatus

**Preliminary Class**

399

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U.S. PATENT REISSUE APPLICATION INCLUDING: REISSUE PATENT APPLICATION TRANSMITTAL; REISSUE APPLICATION FEE TRANSMITTAL; REISSUE APPLICATION DECLARATION BY THE ASSIGNEE (2 PAGES); REISSUE APPLICATION DECLARATION BY THE INVENTOR (2 PAGES); AMENDMENT (5 PAGES); SPECIFICATION, CLAIMS AND ABSTRACT (13 PAGES), 6 PAGES OF DRAWINGS (FIGS. 1, 2, 3, 4, 5, AND 6); CHECK FOR \$1,226.00

APPLICANT(S): Yong-hwan PARK

PATENT NO: 6,324,373

REISSUE APPLICATION SERIAL NO.: not yet assigned

CONFIRMATION NO. not yet assigned

TITLE: PRE-TRANSFER SYSTEM IN AN IMAGE FORMING APPARATUS

FILING DATE: November 26, 2003

DOCKET NO: 101-R001

DUE DATE: November 27, 2003



Please type a plus sign (+) inside this box →

PTO/SB/60 (08-00)

Approved for use through 12/30/2000. OMB 0651-0033

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## REISSUE PATENT APPLICATION TRANSMITTAL

Address to:	Attorney Docket No.	101-R001
Assistant Commissioner for Patents Box Reissue Washington, DC 20231	First Named Inventor	Yong-hwan PARK
	Original Patent Number	6,324,373
	Original Patent Issue Date (Month/Day/Year)	11/27/2001
	Express Mail Label No.	

**APPLICATION FOR REISSUE OF:**  Utility Patent  Design Patent  Plant Patent  
(Check applicable box)

<b>APPLICATION ELEMENTS (37 CFR 1.173)</b>		<b>ACCOMPANYING APPLICATION PARTS</b>	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (PTO/SB/68) <small>(Submit an original, and a duplicate for fee processing)</small> 2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.		7. <input type="checkbox"/> Statement of status/support for all changes to the claims. See 37 CFR 1.173 (c).	
3. <input checked="" type="checkbox"/> Specification and Claims in double column copy of patent format (amended, if appropriate)		8. <input type="checkbox"/> Original U.S. Patent for surrender	
4. <input checked="" type="checkbox"/> Drawing(s) (proposed amendments, if appropriate)		<input type="checkbox"/> Ribboned Original Patent Grant	
5. <input checked="" type="checkbox"/> Reissue Oath/Declaration (original or copy) <small>(37 C.F.R. § 1.175) (PTO/SB/51 or 52)</small>		<input type="checkbox"/> Statement of Loss (PTO/SB/56)	
6. Original U.S. Patent currently assigned?		9. <input checked="" type="checkbox"/> Foreign Priority Claim (35 U.S.C. 119) (if applicable)	
<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <small>(If Yes, check applicable box(es))</small>		10. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations	
<input checked="" type="checkbox"/> Written Consent of all Assignees (PTO/SB/53)		11. <input type="checkbox"/> English Translation of Reissue Oath/Declaration (if applicable)	
<input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input type="checkbox"/> Power of Attorney <small>(PTO/SB/96)</small>		12. <input checked="" type="checkbox"/> Preliminary Amendment	
		13. <input type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small>	
		14. Other:	

<b>15. CORRESPONDENCE ADDRESS</b>					
<input checked="" type="checkbox"/> Customer Number or Bar Code Label			38209	or <input type="checkbox"/> Correspondence address below <small>(Insert Customer No. or Attach bar code label here)</small>	
Name	Stanzione & Kim, LLP				
Address	1740 N Street, N. W., 1st Floor				
City	Washington	State	DC	Zip Code	20036
Country	USA	Telephone	202 775 1900	Fax	202 775 1901

NAME (Print/Type)	Patrick J. Stanzione	Registration No. (Attorney/Agent)	40434
Signature	<i>Patrick J. Stanzione</i>		Date November 26, 2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Reissue, Washington, DC 20231.

PTO/SB/52 (12-07)

Approved for use through 9/30/00. OMB 0651-0033  
Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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<b>REISSUE APPLICATION DECLARATION BY THE ASSIGNEE</b>		Docket Number (Optional) <b>101-R001</b>
<p>I hereby declare that:</p> <p>My residence, post office address and citizenship are stated below next to my name.</p> <p>I am authorized to act on behalf of the following company: <b>SAMSUNG Electronics Co., Ltd.</b> and the title of my position with said company is: <b>Group Manager of Intellectual Property Group</b></p> <p>The entire title to the patent identified below is vested in said company.</p>		
<p>Name of Patentee(s): <b>Yong-hwan PARK</b></p>		
Patent Number	<b>6,324,373</b>	Date Patent Issued <b>November 27, 2001</b>
<p>Title of Invention <b>PRE-TRANSFER SYSTEM IN AN IMAGE FORMING APPARATUS</b></p>		
<p>I believe said patentee(s) to be the original, first and sole or joint inventor(s) of the subject matter which is described and claimed in said patent, for which a reissue patent is sought on the invention entitled _____</p> <p><b>PRE-TRANSFER SYSTEM IN AN IMAGE FORMING APPARATUS</b></p> <p>the specification of which:</p>		
<p><input checked="" type="checkbox"/> is attached hereto.</p> <p><input type="checkbox"/> was filed on _____ as reissue application number <b>1/a</b> _____ and was amended on _____ (if applicable)</p>		
<p>I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.</p>		
<p>I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.</p>		
<p>I verify believe the original patent to be wholly or partly inoperative or invalid, for the reasons described below. (Check all boxes that apply.)</p>		
<p><input type="checkbox"/> by reason of a defective specification or drawing.</p> <p><input checked="" type="checkbox"/> by reason of the patentee claiming more or less than he had the right to claim in the patent.</p> <p><input type="checkbox"/> by reason of other errors.</p>		
<p>At least one error upon which reissue is based is described as follows:</p>		
<p>One error being relied upon as a basis for a reissue is that independent claims 1, 9, and 15 contain unduly limiting features including for example "a lens is disposed opposite to a light emitting portion of the pre-transfer lamp" (claim 1), "a mirror for directing the light from the first light-emitting diode" (claim 9), and "focusing the light into a line pattern" (claim 15). These and other features recited independent claims 1, 9, and 15 unduly and erroneously limited the scope of patent protection for the invention described in U.S. Patent 6,324,373.</p>		

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 0.5 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PTO/SB/52 (12-97)

Approved for use through 03/01/00. OMB 0651-0032  
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

(REISSUE APPLICATION DECLARATION BY THE ASSIGNEE, page 2)		Docket Number (Optional) 101-R001
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All errors corrected in this reissue application arose without any deceptive intention on the part of the applicant.

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Name(s)	Registration Number
Patrick J Stanzione	40434
Seuneman Kim	50012

Correspondence Address: Direct all communications about the application to:

<input checked="" type="checkbox"/> Customer Number	38209	→	Place Customer Number Bar Code Label here
Type Customer Number here			

OR

<input type="checkbox"/> Firm or Individual Name	Patrick J Stanzione				
Address	1740 N St., N.W., 1st Floor				
Address					
City	Washington	State	DC	ZIP	20036
Country	USA				
Telephone	202 775 1900	Fax	202 775 1901		

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine and imprisonment, or both, under 18 U.S.C. 1001, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this declaration is directed.

Full name of person signing (given name, family name)

Dong-ho Lee

Signature	Date
<i>Dong-ho Lee</i>	Nov 15, 2003
Residence <i>Yongin city, Korea</i>	Citizenship Republic of Korea
Post Office Address 206-706, LG Village, Seungbok-dong, Yongin-city, Kyungki-do, Korea	

(Page 2 of 2)

PTO/SB/51 (12-01)  
 Approved for use through 03/01/00 OMB No. 1401-0403  
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<b>REISSUE APPLICATION DECLARATION BY THE INVENTOR</b>		Docket Number (Optional) 101-R(X)
<p>As a below named inventor, I hereby declare that:      My residence, post office address and citizenship are stated below next to my name.      I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is described and claimed in patent number <u>6,324,373</u>, granted <u>November 27, 2001</u>, and for which a reissue patent is sought on the invention entitled  <b>PRE-TRANSFER SYSTEM IN AN IMAGE FORMING APPARATUS</b>      the specification of which  <input checked="" type="checkbox"/> is attached hereto.</p> <p><input type="checkbox"/> was filed on _____ as reissue application number <u>11/a</u>      and was amended on _____      (If applicable)</p> <p>I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.      I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.      I verily believe the original patent to be wholly or partly inoperative or invalid, for the reasons described below. (Check all boxes that apply.)</p> <p><input type="checkbox"/> by reason of a defective specification or drawing.  <input checked="" type="checkbox"/> by reason of the patentee claiming more or less than he had the right to claim in the patent.  <input type="checkbox"/> by reason of other errors.</p> <p>At least one error upon which reissue is based is described as follows:</p> <p>One error being relied upon as a basis for a reissue is that independent claims 1, 9, and 15 contain unduly limiting features including for example "a lens is disposed opposite to a light emitting portion of the pre-transfer lamp" (claim 1), "a mirror for directing the light from the first light-emitting diode" (claim 9), and "focusing the light into a line pattern" (claim 15). These and other features recited in independent claims 1, 9, and 15 unduly and erroneously limited the scope of patent protection for the invention described in U.S. Patent 6,324,373.</p>		

[Page 1 of 2]

Second Hour Statement. This form is estimated to take 0.8 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PTO-54-51 (12-07)

Approved for use through 07/01/00. GOMR 0051-0933  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## (REISSUE APPLICATION DECLARATION BY THE INVENTOR, page 2)

Docket Number (Optional)

(11)-R(43)

All errors corrected in this reissue application arose without any deceptive intention on the part of the applicant. As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Name(s) Registration Number

Patrick J. Stanzione	40434
Seungnim Kim	50012

Correspondence Address: Direct all communications about the application to.

 Customer Number

38209

Place Customer Number Bar  
Code Label here

OR

Type Customer Number here

 Firm or  
Individual Name

Patrick J. Stanzione

Address

1740 N St., N.W., 1st Floor

Address

City

Wshington

State

IX

ZIP

20036

Country

USA

Telephone

202 775 1900

Fax

202 775 1901

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine and imprisonment, or both, under 18 U.S.C. §901, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this declaration is directed.

Full name of sole or first inventor (given name, family name)

Yong-hwan PARK

Inventor's signature

Residence

Suwon-city, Korea

Date

11/25/03

Post Office Address 931-503 Samsung-Techvillage APT

Citizenship

Republic of Korea

HyukJenKgnl, 9, Youngtong-dong, Suwon-city, Korea

Full name of second joint inventor (given name, family name)

Inventor's signature

Date

Residence

Citizenship

Post Office Address

Full name of third joint inventor (given name, family name)

Inventor's signature

Date

Residence

Citizenship

Post Office Address

 Additional joint inventors are named on separately numbered sheets attached hereto.

**BEST AVAILABLE COPY****ASSIGNMENT**

DOCKET NO. P56146

AS A BELOW NAMED INVENTOR, I, and each of us, individually hereby declare that:  
 IN CONSIDERATION of the sum of TEN (\$10.00) dollars or the equivalent thereof, and other good and valuable consideration, the receipt  
 of which from the hereinafter named Assignee is hereby acknowledged, I do hereby sell, assign and forever grant and convey unto:

**ASSIGNEE:****SAMSUNG ELECTRONICS CO., LTD.,***a corporation organized under the laws of the Republic of Korea,**416 Maeon-dong,**Paldal-gu, Suwon, Kyonggi-do,**Republic of KOREA.*

Who is my Assignee, and to the successors and assigns of my Assignee, all my right, title and interest, in and for the United States  
 of America and all other countries, including all rights of priority, in and to the invention required:

**TITLE: PRE-TRANSFER SYSTEM IN AN IMAGE FORMING APPARATUS**

Invented by me(if only one inventor is named below) or us (if more than one inventor is named below) and described in an application for  
 United States patent the specification of which is either attached hereto or otherwise accompanies this Assignment, or is more  
 particularly identified as:

executed on even date herewith, or  
 Serial No. 09/612,308 filed in the U.S. Patent & Trademark Office on July 27, 2000, or  
 executed on \_\_\_\_\_

and in and to all United States patents which may be granted thereon, and to all certificates of corrections, divisions, continuations-in-part, reissues and reexamination patents, and to any extension or renewal thereof, and to all applications for patents, whether granted or to be held and enjoyed by me and SAMSUNG ELECTRONICS CO., LTD., jointly or severally, and their respective legal representatives, to the full end of the term, terms, or any extension or renewal thereof, for which said patent or patents may be granted, as fully and entirely as the same would have been held and enjoyed by me and SAMSUNG ELECTRONICS CO., LTD., if no assignment and conveyance had not been made.

AND I, hereby covenant and agree to sign and execute without regard to the amount of money or other consideration, any further documents or instruments which may from time to time be deemed necessary, lawful, proper or requested by the Assignee, in the execution of the above-named application or in the preparation and prosecution of all applications for patents and to the effect of correction, division, continuation-in-part, reissue or reexamination, amendment, extension, interference proceeding, whether administrative or judicial, or otherwise, to secure the title hereto. In said Assignment, together with the original application, or any international convention, the Protection of Industrial Property, the American Convention Relating to Patents, Designs and Industrial Models, and any other International Agreements or Treaty to which the United States of America is a party.

And I do hereby authorize and request the Commissioner of Patents and Trademarks, or his/her designee, to insert in this Assignment, and I hereby appoint as my notary public, and to whom I have given power of attorney, RAYMOND J. BUSHNELL, to insert on this Assignment any further identification or to complete such identification or to complete such identification which may be subsequently required in order to comply the rules of the United States Patent and Trademark Office in the preparation of this document.

IN WITNESS WHEREOF, I and we have set our names and initials, the day, month, year and our respective names:

**INVENTOR(S)**

Date signed

(Initials)

1) Yang-Hwan PARK (Seal) Aug 13, 2000 08/13/00  
 2) \_\_\_\_\_ (Seal) \_\_\_\_\_  
 3) \_\_\_\_\_ (Seal) \_\_\_\_\_  
 4) \_\_\_\_\_ (Seal) \_\_\_\_\_

R. B. Bushnell

**RECORDED: 08/16/2000****PATENT**  
**REEL: 011048 FRAME: 0616**